

# A discriminant criterion of irreducibility

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## Introduction

We use the discriminant of a polynomial in one variable to verify if a plane complex analytic curve  $\mathbf{f}(\mathbf{x}, \mathbf{y}) = \mathbf{0}$  is analytically irreducible at the origin. Throughout the poster we assume that  $\mathbf{f}(\mathbf{x}, \mathbf{y}) = \mathbf{y}^n + \mathbf{a}_1(\mathbf{x})\mathbf{y}^{n-1} + \dots + \mathbf{a}_n(\mathbf{x})$  is a distinguished polynomial of degree larger than 1.

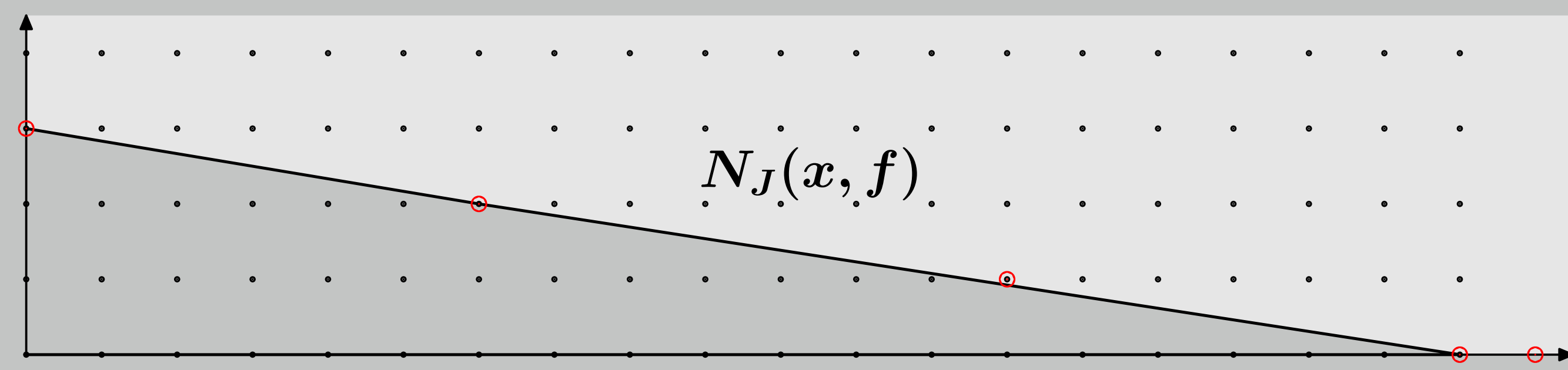
► Let  $\mathbf{D}(\mathbf{u}, \mathbf{v}) = \text{Discriminant}_{\mathbf{y}}(\mathbf{f}(\mathbf{u}, \mathbf{y}) - \mathbf{v})$ .

- The discriminant curve  $\mathbf{D}(\mathbf{u}, \mathbf{v}) = \mathbf{0}$  is geometrically the set of critical values of the mapping  $(\mathbf{x}, \mathbf{f}) : (\mathbb{C}^2, \mathbf{0}) \rightarrow (\mathbb{C}^2, \mathbf{0})$ .
- The Newton diagram of  $\mathbf{D}(\mathbf{u}, \mathbf{v})$  is called the jacobian Newton diagram of a pair  $(\mathbf{x}, \mathbf{f})$  and denoted  $\mathbf{N}_J(\mathbf{x}, \mathbf{f})$ .

## Example

Let  $\mathbf{f}(\mathbf{x}, \mathbf{y}) = (\mathbf{y}^2 - \mathbf{x}^3)^2 - \mathbf{x}^5\mathbf{y}$ . Then

$$\mathbf{D}(\mathbf{u}, \mathbf{v}) = -256\mathbf{v}^3 + 256\mathbf{u}^6\mathbf{v}^2 + 288\mathbf{u}^{13}\mathbf{v} - 256\mathbf{u}^{19} - 27\mathbf{u}^{20}.$$



Red dots are the points of the support of  $\mathbf{D}(\mathbf{u}, \mathbf{v})$ .

## Merle type diagrams

By definition  $\Delta$  is the Merle type diagram if there exists an irreducible curve  $\mathbf{f} = \mathbf{0}$  such that  $\Delta = \mathbf{N}_J(\mathbf{x}, \mathbf{f})$ .

► Properties of Merle type diagrams

- $\mathbf{N}_J(\mathbf{x}, \mathbf{f})$  is a convenient Newton diagram which is determined by the intersection multiplicity  $(\mathbf{f}, \mathbf{x})_0$  and the embedded topological type of the curve  $\mathbf{f} = \mathbf{0}$ . The interested reader can see Appendix B.
- The jacobian Newton diagram  $\mathbf{N}_J(\mathbf{x}, \mathbf{f})$  is a complete topological invariant of the singularity of the curve  $\mathbf{x}\mathbf{f}(\mathbf{x}, \mathbf{y}) = \mathbf{0}$ ; in particular  $\mathbf{N}_J(\mathbf{x}, \mathbf{f})$  determines the embedded topological type of the curve  $\mathbf{f} = \mathbf{0}$ .
- We show an easy way of checking if the given Newton diagram  $\Delta$  is a Merle type diagram (see Appendix C).

## Irreducibility criterion

**Theorem.** The curve  $\mathbf{f}(\mathbf{x}, \mathbf{y}) = \mathbf{0}$  is analytically irreducible at the origin if and only if  $\mathbf{N}_J(\mathbf{x}, \mathbf{f})$  is a Merle type diagram.

The implication  $\Rightarrow$  is obvious. The opposite implication follows from

**Theorem.** Let  $\mathbf{f} = \mathbf{0}$  and  $\mathbf{g} = \mathbf{0}$  be two curves such that  $\mathbf{N}_J(\mathbf{x}, \mathbf{f}) = \mathbf{N}_J(\mathbf{x}, \mathbf{g})$ . Suppose that  $\mathbf{f} = \mathbf{0}$  is an irreducible curve. Then  $\mathbf{g} = \mathbf{0}$  is also irreducible.

## Kuo's examples

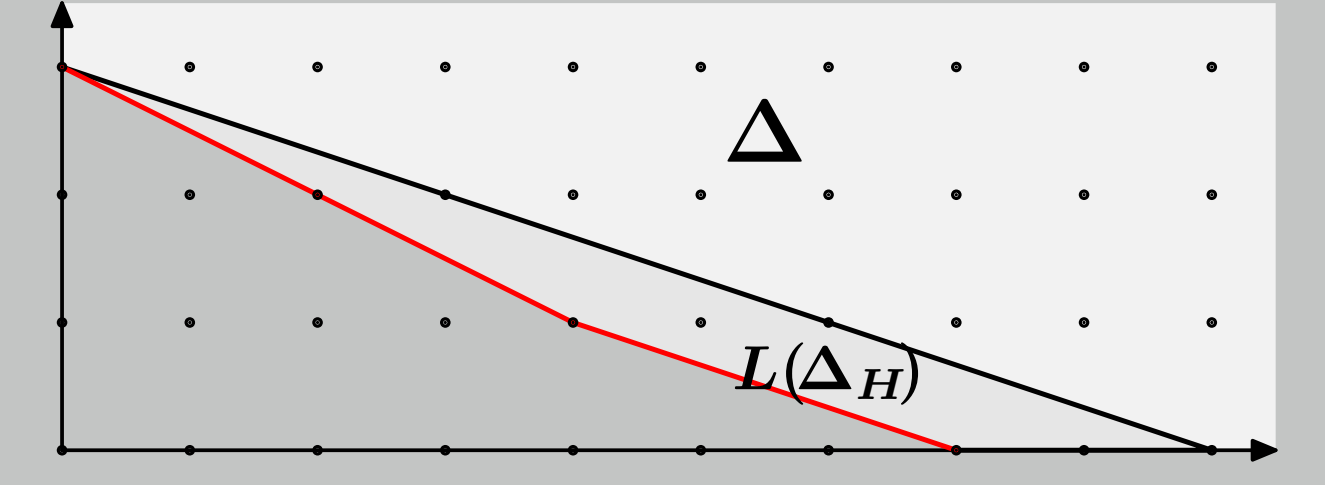
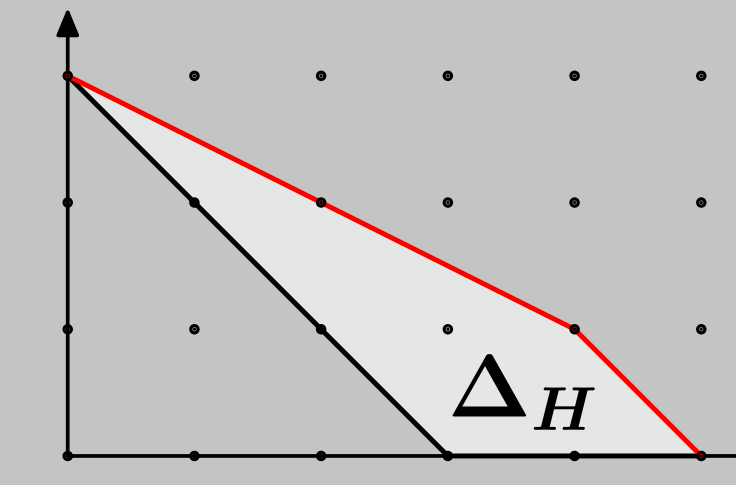
- Take  $\mathbf{f}(\mathbf{x}, \mathbf{y})$  from the previous example. Using notations of Appendix A for convenient Newton diagrams we have  $\mathbf{N}_J(\mathbf{x}, \mathbf{f}) = \left\{\frac{6}{1}\right\} + \left\{\frac{13}{2}\right\}$  which is a Merle type diagram. Hence the curve  $\mathbf{f}(\mathbf{x}, \mathbf{y}) = \mathbf{0}$  is irreducible.
- Let  $\mathbf{g}(\mathbf{x}, \mathbf{y}) = (\mathbf{y}^2 - \mathbf{x}^3)^2 - \mathbf{x}^7$ . Then  $\mathbf{D}(\mathbf{u}, \mathbf{v}) = -256(\mathbf{v} - \mathbf{u}^6 + \mathbf{u}^7)(\mathbf{v} + \mathbf{u}^7)^2$ . By drawing the Newton diagram of  $\mathbf{D}(\mathbf{u}, \mathbf{v})$ , one can check that  $\mathbf{N}_J(\mathbf{x}, \mathbf{g}) = \left\{\frac{6}{1}\right\} + \left\{\frac{14}{2}\right\}$ . Since  $\mathbf{N}_J(\mathbf{x}, \mathbf{g})$  is not a Merle type diagram,  $\mathbf{g}(\mathbf{x}, \mathbf{y}) = \mathbf{0}$  is a reducible curve.

## Local irreducibility criterion for algebraic curves

Let  $\mathbf{F}(\mathbf{x}, \mathbf{y})$  be a square free complex polynomial such that the origin is a singular point of the curve  $\mathbf{F}(\mathbf{x}, \mathbf{y}) = \mathbf{0}$ . Let  $\mathbf{c}$  be a variable and let  $\mathbf{H}(\mathbf{u}, \mathbf{v}) \in \mathbb{C}[\mathbf{c}][\mathbf{u}, \mathbf{v}]$  be the polynomial given by  $\mathbf{H}(\mathbf{u}, \mathbf{v}) = \text{Discriminant}_{\mathbf{y}}(\mathbf{F}(\mathbf{u} + \mathbf{c}\mathbf{y}, \mathbf{y}) - \mathbf{v})$ . Then the curve  $\mathbf{F}(\mathbf{x}, \mathbf{y}) = \mathbf{0}$  is analytically irreducible at the origin if and only if the Newton diagram of  $\mathbf{H}(\mathbf{u}, \mathbf{v})$  is a Merle type diagram.

## Irreducibility criterion at infinity

Let  $\mathbf{F}(\mathbf{x}, \mathbf{y}) = \mathbf{y}^n + \text{terms of lower degrees}$  be a complex polynomial. Let  $\mathbf{H}(\mathbf{x}, \mathbf{t}) = \text{Discriminant}_{\mathbf{y}}(\mathbf{F}(\mathbf{x}, \mathbf{y}) - \mathbf{t})$  and let  $\mathbf{L} : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  be an affine transformation given by  $\mathbf{L}(\mathbf{i}, \mathbf{k}) = (\mathbf{n}(\mathbf{n} - 1) - \mathbf{i} - \mathbf{n}\mathbf{k}, \mathbf{k})$ . We construct the Newton diagram  $\Delta$  using  $\mathbf{L}(\Delta_H)$  where  $\Delta_H$  is the Newton polygon of  $\mathbf{H}(\mathbf{x}, \mathbf{t})$  as shown in the figure below. Then the projective closure of the curve  $\mathbf{F}(\mathbf{x}, \mathbf{y}) = \mathbf{0}$  is irreducible at infinity if and only  $\Delta$  is a Merle type diagram.



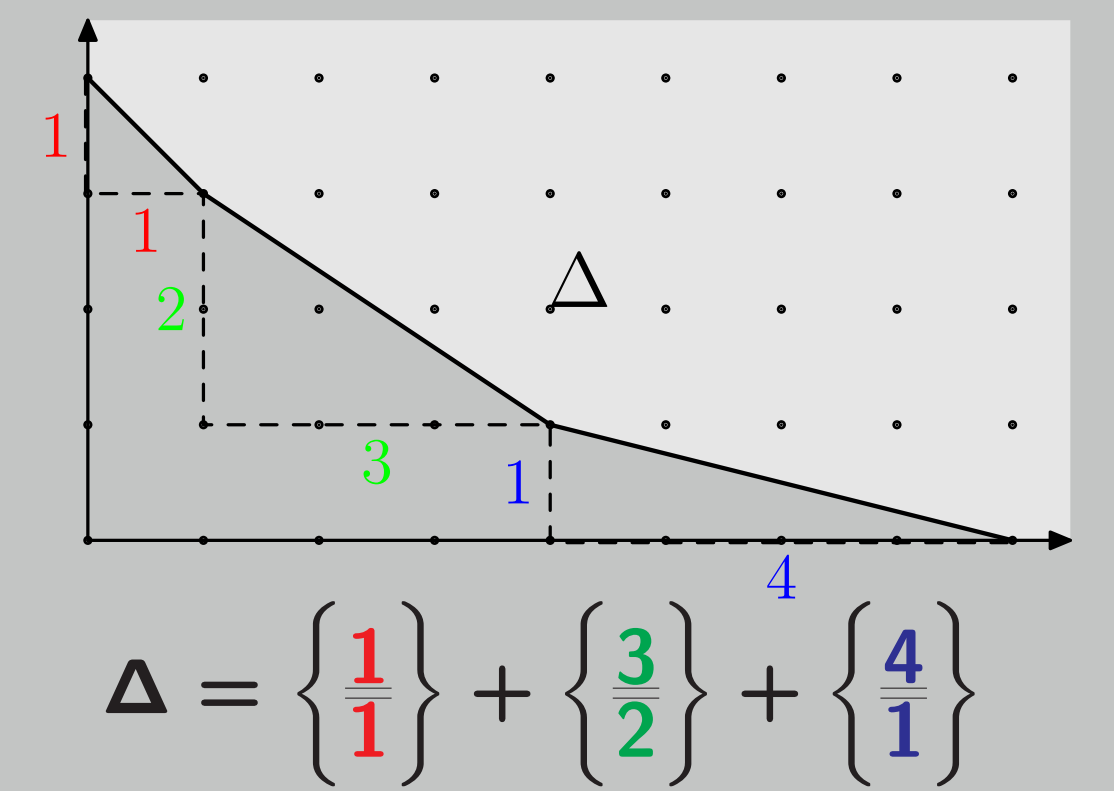
$\Delta$  is the region above the red polygon

## Conclusions

- Our irreducibility criterion is a two-step procedure: first compute the equation of the discriminant curve, then check if the Newton diagram of the discriminant curve passes the arithmetical test for Merle type diagrams.
- All other known methods are multi-step procedures, for example using Abhyankar's irreducibility criterion (see [1]) we are forced to compute approximate roots and use G-adic expansions with respect to these roots.
- Our method gives an effective criterion of local irreducibility of plane algebraic curves.
- For an affine plane curve with one point at infinity, we obtain a criterion for analytical irreducibility in terms of the Newton diagram of a discriminant.

## Appendix A: Teissier's fractions

- The Newton diagram is called convenient if it intersects both coordinate axes.
- Every convenient Newton diagram is a sum of Teissier's fractions.
- Compact edges of  $\Delta$  are in one-to-one correspondence with Teissier's fractions.



## Appendix B: Smith-Merle-Ephraim formula

- Let  $\mathbf{f} = \mathbf{0}$  be an irreducible singular curve and  $\mathbf{l} = \mathbf{0}$  be a smooth curve.
- The semigroup  $\mathbf{S}(\mathbf{f})$  is the set of intersection multiplicities  $\mathbf{S}(\mathbf{f}) = \{(\mathbf{f}, \mathbf{g})_0 : \mathbf{f} \text{ is not a factor of } \mathbf{g}\}$ .
- The  $(\mathbf{f}, \mathbf{l})_0$ -minimal system of generators of  $\mathbf{S}(\mathbf{f})$  is the sequence  $\bar{\mathbf{b}}_0, \bar{\mathbf{b}}_1, \dots, \bar{\mathbf{b}}_h$  determined by conditions:
  - $\bar{\mathbf{b}}_0 = (\mathbf{f}, \mathbf{l})_0$ .
  - $\bar{\mathbf{b}}_k = \min(\mathbf{S}(\mathbf{f}) \setminus (\mathbf{N} \bar{\mathbf{b}}_0 + \dots + \mathbf{N} \bar{\mathbf{b}}_{k-1}))$ ,
  - $\mathbf{S}(\mathbf{f}) = \mathbf{N} \bar{\mathbf{b}}_0 + \dots + \mathbf{N} \bar{\mathbf{b}}_h$ .
- Let  $\mathbf{n}_1, \dots, \mathbf{n}_h$  be the integers defined as  $\mathbf{n}_k = \frac{\gcd(\bar{\mathbf{b}}_0, \dots, \bar{\mathbf{b}}_{k-1})}{\gcd(\bar{\mathbf{b}}_0, \dots, \bar{\mathbf{b}}_k)}$  for  $\mathbf{k} = 1, \dots, h$ . Then

$$\mathbf{N}_J(\mathbf{l}, \mathbf{f}) = \sum_{k=1}^h \left\{ \frac{(\mathbf{n}_k - 1)\bar{\mathbf{b}}_k}{(\mathbf{n}_k - 1)\mathbf{n}_1 \dots \mathbf{n}_{k-1}} \right\}.$$

## Appendix C: Arithmetical test for Merle type diagrams

Let  $\Delta = \sum_{i=1}^h \left\{ \frac{\mathbf{L}_i}{\mathbf{M}_i} \right\}$  where  $\frac{\mathbf{L}_1}{\mathbf{M}_1} < \dots < \frac{\mathbf{L}_h}{\mathbf{M}_h}$ . Let  $\mathbf{H}_0 = \mathbf{1}$ ,  $\mathbf{H}_i = \mathbf{1} + \mathbf{M}_1 + \dots + \mathbf{M}_i$  for  $\mathbf{i} \in \{1, \dots, h\}$  and  $\mathbf{C}_0 = \mathbf{H}_h$ ,  $\mathbf{C}_i = \mathbf{H}_{i-1}\mathbf{L}_i/\mathbf{M}_i$  for  $\mathbf{i} \in \{1, \dots, h\}$ . Then  $\Delta$  is a Merle type diagram if and only if the following conditions are satisfied:

- $\mathbf{H}_i/\mathbf{H}_{i-1}$  are integers for  $\mathbf{i} \in \{2, \dots, h\}$ ,
- $\mathbf{C}_i$  are integers for  $\mathbf{i} \in \{1, \dots, h\}$ ,
- $\gcd(\mathbf{C}_0, \dots, \mathbf{C}_i) = \mathbf{C}_0/\mathbf{H}_i$  for  $\mathbf{i} \in \{1, \dots, h\}$ .

Assume that  $\Delta = \mathbf{N}_J(\mathbf{l}, \mathbf{f})$ . Then  $\mathbf{C}_0, \dots, \mathbf{C}_h$  is the  $(\mathbf{f}, \mathbf{l})_0$ -minimal system of generators of the semigroup  $\mathbf{S}(\mathbf{f})$ .

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