

## Lecture 3a

## Offshoots (i.e. related topics)

### I. The non-Lefschetz locus (scheme)

Def Let  $R/I$  be a standard graded artinian algebra. The non-Lefschetz locus  $\mathcal{L}_{R/I}$  is the set of  $L \in [R]$ , that are not Lefschetz elements.

the clearest situation is when  $R/I$  is Gorenstein.

lemma Let  $R/I$  be a graded artinian Gorenstein algebra with Hilbert ftn either

$$(a) \quad (1, r, h_2, \dots, h_t, \underset{h_t}{h_{t+1}}, \dots, \underset{h_t}{h_{2t-1}}, \underset{h_t}{h_{2t}}, \underset{h_t}{h_{2t+1}}) \quad \begin{matrix} \text{i.e.} \\ \text{odd socle degree} \\ 2t+1 \end{matrix}, \text{ or}$$

$$(b) \quad (1, r, h_2, \dots, h_{t-1}, h_t, \underset{h_{t-1}}{h_{t+1}}, \dots, \underset{h_{t-1}}{h_{2t-2}}, \underset{h_{t-1}}{h_{2t-1}}, \underset{h_{t-1}}{h_{2t}}) \quad \begin{matrix} \text{i.e.} \\ \text{even socle degree} \\ 2t \end{matrix}$$

Let  $L$  be a linear form, let  $i \geq t$ .

If  $\times L : [R/I]_i \rightarrow [R/I]_{i+1}$  fails to be surjective then

$$\times L : [R/I]_t \rightarrow [R/I]_{t+1} \quad \text{" " " "}$$

So to capture all the non-Lefschetz elements, it's enough to look at

$$\times L : [R/I]_t \rightarrow [R/I]_{t+1} \quad (\text{ie "in the middle"})$$

### Remarks

1. Duality gives a statement about injectivity (important!)
2.  $\exists$  a stronger ideal-theoretic statement that we'll omit.
3. I'll leave the proof to you

How do we get our hands on  $\mathcal{L}_{R/I}$  when  $R/I$  is Gorenstein?

$$\underline{E_x} \quad \bar{J} = (x^2, y^3, z^4) \in k[x, y, z]$$

HF  $(1, 3, \underbrace{5, 6, 5, 3}, 1)$  let  $L = ax + by + cz$   
middle

Choose a basis for  $[R/I]_3$ ,  $[R/I]_4$  and form the matrix for  $\times L$

$$\begin{matrix} & xy^2 & xyz & xz^2 & y^2z & yz^2 & z^3 \\ \left[ \begin{matrix} xy^2 \\ xyz \\ xz^2 \\ y^2z \\ yz^2 \end{matrix} \right] & \begin{bmatrix} c & b & 0 & a & 0 & 0 \\ 0 & c & b & 0 & a & 0 \\ 0 & 0 & c & 0 & 0 & a \\ 0 & 0 & 0 & c & b & 0 \\ 0 & 0 & 0 & 0 & c & b \end{bmatrix} \end{matrix}$$

$\mathcal{L}_{R/I}$  is given by the ideal of maximal minors of this matrix. (It defines a non-reduced curve of degree 2 in  $\mathbb{P}^2$  w/ variables  $a, b, c$ )  $HP = 2t + 5$ .

## II. Linear Systems + Independent Conditions

Let  $R = k[x, y, z]$  and consider the v.s.  $[R]_2$ .

Basis:  $x^2, xy, xz, y^2, yz, z^2$

typical element  $a_1 x^2 + \dots + a_6 z^2$

- Two elements of  $[K]_2$  define the same curve in  $\mathbb{P}^2$  (as schemes) iff they differ by a scalar multiple.

$\Rightarrow$  think of  $\mathbb{P}[R]_2 = \mathbb{P}^5$  as parametrizing the curves in  $\mathbb{P}^2$  of degree 2.

- Let  $P = [1, 2, 3] \in \mathbb{P}^2$ .

which curves in  $\mathbb{P}^2$  contain  $P$ ?

$$a_1(1)^2 + a_2(1)(2) + a_3(1)(3) + a_4(2)^2 + a_5(2)(3) + a_6(3)^2 = 0$$

$$a_1 + 2a_2 + 3a_3 + 4a_4 + 6a_5 + 9a_6 = 0$$

This defines a 5-dimensional vector subspace of  $[R]_2$ .

Thus it defines a hyperplane in  $\mathbb{P}^5$ , i.e. 4-dim'l linear space inside  $\mathbb{P}^5$ .

- We say  $P$  imposes one condition on conics in  $\mathbb{P}^2$  since the solution space has codim 1: it's the solution space of one (independent) homog. linear equation.
- If there are  $k$  points we get  $k$  homog. linear equations, which may or may not be independent.  
We say the  $k$  points impose independent conditions if the corresp equations are independent.

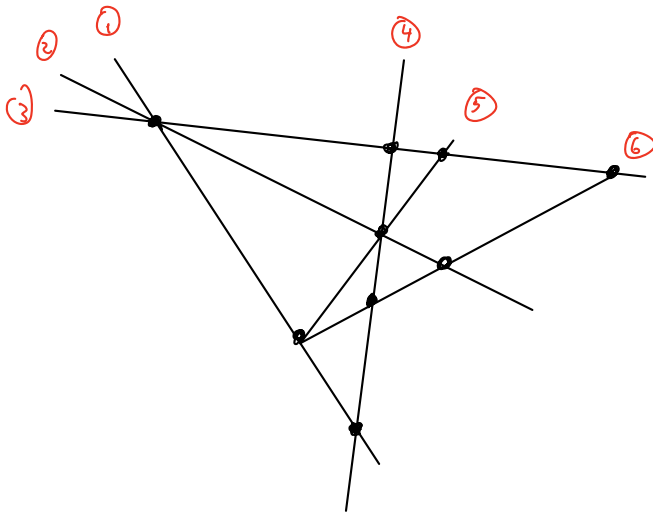
Move to  $\mathbb{P}^n$ .

- In general, a linear system in  $\mathbb{P}^n$  is the projectivization of a vector subspace of  $[R]_d$  for some  $d$ , where here  $R = k[x_0, \dots, x_n]$ .
  - Recall  $[R]_d$  is a v.s. of dim  $\binom{n+d}{n}$  and thus the projectivization is a projective space  $\mathbb{P}^{\binom{n+d}{n}-1}$  of hypersurfaces of degree  $d$ .
  - A general set of  $k$  points imposes  $\min\{k, \binom{d+n}{n}\}$  conditions.
- Fact For any linear system that's at least 1-dim'l, imposing the vanishing at a general point is one new condition.
- Now go one step farther.
- Question: how many conditions on  $\mathbb{P}^{\binom{d+n}{n}-1}$  is it for a hypersurface of degree  $d$  to be singular at a point  $P$ , i.e. vanish to multiplicity 2?
- Answer: we want the  $n+1$  first partial derivatives to vanish at  $P$ , so  $n+1$  conditions.

- In general, to vanish at  $P$  to multiplicity  $r$  we want the  $(r-1)^{\text{st}}$  partials to vanish, so  $\binom{r-1+n}{n}$  conditions. Are they independent?
  - Fact For the complete linear system, yes.  
For a smaller linear system, not necessarily!
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### III. Unexpected hypersurfaces (connection to Lefschetz later)

Example (DIV) (I is in the room!)



$$Z = 9 \text{ pts.} \quad HF \quad 1 \quad 3 \quad 6 \quad 9 \quad 9 \rightarrow$$

$$\Rightarrow \dim [I_Z]_3 = 15 - 9 = 6 \quad (\text{vector space})$$

so we get a linear system that's a  $\mathbb{P}^5$ .

Let  $P$  be a gen'l point. we expect  $\binom{3-1+2}{2} = 6$  conditions for a curve in this linear system to vanish to order 3, ie we don't expect any such curve. And yet it always exists!

This example launched an active area of research on unexpected hypersurfaces.

(In this case unexpected curves, but the theory extends to  $\mathbb{P}^n$ .)

More precisely:

an element  $F \in [I_Z]_d$  vanishes to order  $r$  at  $P$

$$\text{iff } F \in [I_Z \cap I_P^r]_d.$$

Def Given a set  $Z \subset \mathbb{P}^n$ , positive integers  $d, r$ , and a general point  $P$ :

the actual dimension is  $a\text{-dim} = \dim [I_Z \cap I_P^r]_d.$

the virtual dimension is  $v\text{-dim} = \dim [I_Z]_d - \binom{r-1+n}{n}$

the expected dimension is  $e\text{-dim} = \max \{ v\text{-dim}, 0 \}$

$Z$  admits an unexpected hypersurface of degree  $d$  and mult.  $r$  if

$$a\text{-dim} > e\text{-dim}.$$

This happens in the preceding example.

#### IV. (Unexpected) Cones

Def In the previous definition, if  $d=r$  then  $Z$  admits an unexpected cone of degree  $d$ .

why? Suppose  $S$  is such a hypersurface. A general line thru  $P$  already meets  $S$  in a 0-dimensional scheme of degree  $d$  so if it contains one more point of  $S$  it must lie inside  $S$ . So  $S$  is a cone.

#### Important Observation

Given such a cone (unexpected or not), it shows that for the projection  $\pi_P$  from  $P$  to a general hyperplane  $H$ , we have  $\pi_P(Z)$  lies in a degree  $d$  hypersurface of  $H$ .

### Example Classical Weidie Surfaces

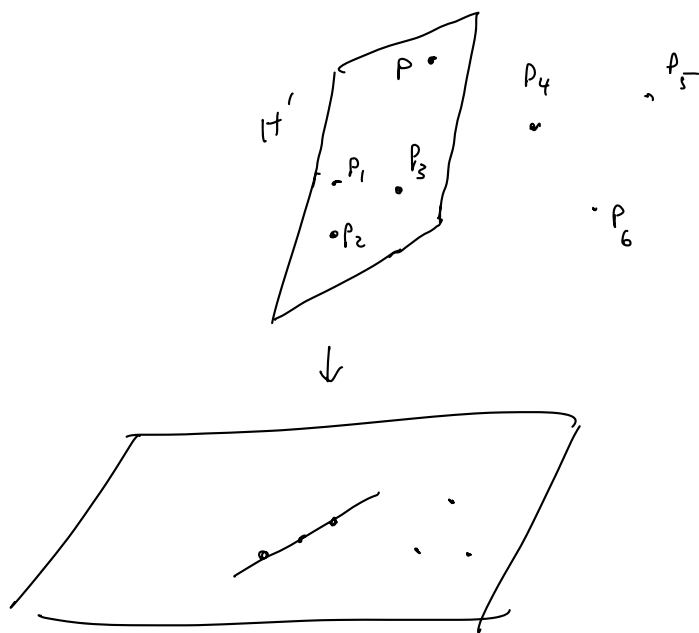
Let  $Z \subset \mathbb{P}^3$  be a set of 6 points in L.G.P. (In this case this means no four on a plane.) Note  $HF = (1, 4, 6, 6, \dots)$

Claim a general projection of  $Z$  to a hyperplane  $H = \mathbb{P}^2$  does not lie on a conic curve.

proof:

Let  $Z = \{P_1, \dots, P_6\}$

- It suffices to find one such projection.
- Choose any 3 of the points, say  $P_1, P_2, P_3$ , and let  $H'$  be the plane they span.
- No other point of  $Z$  lies on  $H'$ .
- Let  $P$  be a general point of  $H'$  and  $\pi_P$  the projection from  $P$ .
- $\pi_P(P_1, P_2, P_3)$  lie on a line but  $\pi_P(P_4, P_5, P_6)$  don't



$\therefore \pi_P(Z)$  does not lie on a conic. //

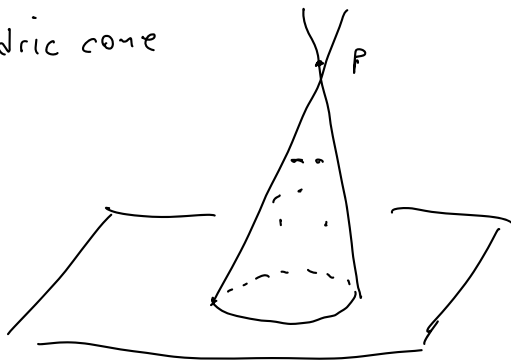
Question Which points  $P \in \mathbb{P}^3$  do have the property that  $\pi_P(Z)$  lies on a conic?

Def let  $W$  be the set of all such points.  $W$  is the Weddle surface of  $Z$ .

### Algebraic Observation

TFAE

- (1)  $P \in W$
- (2)  $\pi_P(Z)$  lies on a conic
- (3)  $Z$  lies on a quadric cone



(4)  $[\bar{I}_Z \cap \bar{I}_P^2]_2 \neq 0$

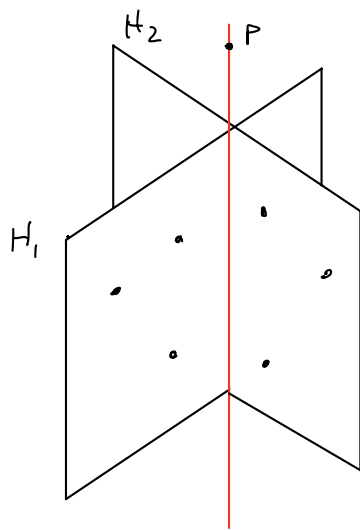
what does  $W$  look like?

First: some "obvious" subsets of  $W$ .

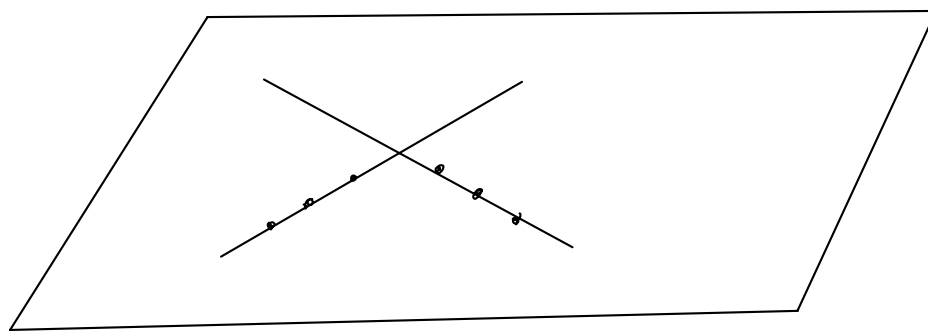
1. let  $\overline{P_i P_j}$  be the line joining  $P_i, P_j$ . Then projecting from any point of  $\overline{P_i P_j}$  collapses  $P_i, P_j$  so  $\pi_P(Z)$  has just 5 points, hence lies on a conic. So we get  $\binom{6}{2} = 15$  lines on  $W$ .

2. Partition  $Z$  into 2 sets of 3 pts, e.g.  $\{P_1, P_2, P_3\} \cup \{P_4, P_5, P_6\}$ .

this gives 2 planes  $H_1, H_2$ . let  $\lambda = H_1 \cap H_2$ . Then projecting from any point of  $\lambda$  sends  $Z$  to 6 pts on 2 lines.



$\downarrow \pi_P$

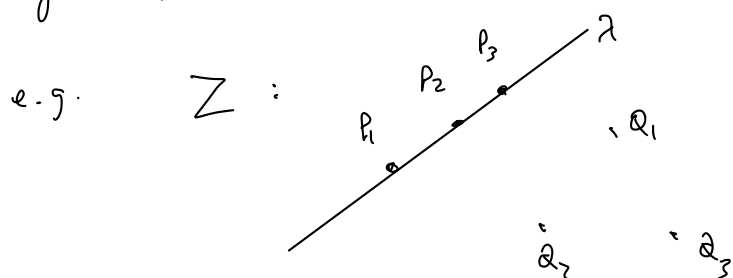


3. The points of  $Z$  lie on  $W$ . (Special case of #1.)

Fact 1  $W$  is actually a surface of degree 4 in  $\mathbb{P}^3$ . (See why next lecture.)

Fact 2  $W$  is also the non-Lefschetz locus of a certain artinian graded algebra! See why next time.

Fact 3 If you weaken the LGP assumption a little bit you still get  $W$  to be a quartic surface, but not as nice as above.

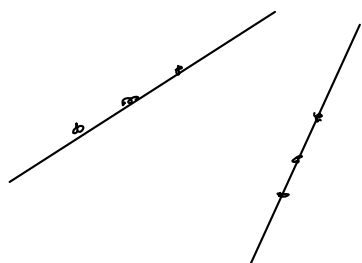




$\Rightarrow W$  is a union of 4 planes:

$$\overline{Q_1 Q_2 Q_3}, \quad \overline{\lambda Q_1}, \quad \overline{\lambda Q_2}, \quad \overline{\lambda Q_3}$$

Fact 4 More extreme:



$W$  is all of  $\mathbb{P}^3$ . We'll revisit this next lecture too.

This will translate to an algebra that fails WLP. (next time)

Fact 5 There is a more general notion of Weddle loci and Weddle schemes (even in higher  $\mathbb{P}^n$ ). See notes.

Fact 6 The example from Fact 4 has a very special property. A general projection of  $Z$  is a CI (2,3). (Obvious.)

Sets of points with this property are said to be geproci (---)

Recently a lot of work has gone into the study of geproci sets in  $\mathbb{P}^3$ , and certain generalizations. We won't get into that here.

See POLITUS1. (Chiantini - Farnik - Favacchio - Harbourne - M - Szemberg - Szpond)