## **Binary Trees of ideals**

# June 28, 2024, Krakow–Poland Junzo Watanabe

# Joint work with T.Harima and S.Isogawa

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# Lemma (Ikeda, 1996)

(1) Suppose A is a graded Artinian algebra and (A, l) is SL. Then  $(\tilde{A} = A[z]/(z^2), l+z)$  is SL. (z is a new variable.)

## Corollary

Suppose (A, l) is SL. Then

(2) 
$$(A[z_1, \ldots, z_m]/(z_1^2, \ldots, z_m^2), l + z_1 + \cdots + z_m)$$
 is SL.

(3)  $(A[y]/(y^{m+1}), l+y)$  is SL.

(4) Monomial ci (complete intersections) are SL.

Proof. (1) can be proved by a diagram chasing. (2) is immediate from (1). (3) follows from (2) by Subring Thm:

$$A[y]/(y^{m+1}) \hookrightarrow A[z_1,\ldots,z_m]/(z_1^2,\ldots,z_m^2).$$

 $y \mapsto z_1 + \cdots + z_m$ 

Both have the same socle degree. To prove  $(3) \Rightarrow (4)$  induct on n.

We can extend this theorem as follows:

## Proposition

Suppose  $A = K[x_1, ..., x_n]/I$  is a complete intersection. Suppose a power of a linear element can be a minimal basis of *I*. I.e.,

$$I = (f_1, \ldots, f_{n-1}, l^m), l \in R_1$$

Let z be the image of l in A. Then we have: A/(z) is  $SL \Rightarrow A$  is SL. I.e., to prove SLP of A it is enough to prove SLP of A/(z). z is the image of l in A.

**Proof.** We can use the flat extension theorem, because we have the coexact sequence

$$K[y]/(y^m) \hookrightarrow A \twoheadrightarrow A/(z).$$

The flat extension theorem itself follows from

$$G = Gr_{(z)}(A) \stackrel{\text{def}}{=} A/(z) \oplus (z)/(z^2) \oplus \dots \oplus (z^{m-1})/(z^m)$$
$$\cong (A/(z))[y]/(y^m).$$

G is SL by Ikeda's Lemma.

## Theorem

(Harima–Watanabe 2007)

"A is at least as good as  $Gr_{(z)}(A)$ "

Suppose  $A = \bigoplus_{i=0}^{c} A_i$  is an Artinian Gorenstein ring. Suppose  $z \in A_1$ . If

$$G = Gr_{(z)}(A) = A/(z) \oplus (z)/(z^2) \oplus (z^2)/(z^3) \oplus \dots \oplus (z^m)/(z^{m+1})$$

has SLP, then A has SLP. Lefschetz element of G is  $\overline{L} + z^*$ . I am trying to find complete intersections A for which  $G = Gr_{(z)}(A)$  can be computed and prove that it has SLP.

Examples of ci in which a power of a linear element can be a minimal basis of a ci:

Examples: 
$$l^k$$
 can be a basis element of  $I$ .  
1.  $I = (x_1^{d_1}, \ldots, x_n^{d_n})$ .  
2.  $I = (e_1, \ldots, e_n)$ ,  $e_k$  the elementary symmetric polynomial.  
3.  $I = (h_d, h_{d_1}, \ldots, h_{d+n-1})$ .  $h_k$  the complete symmetric polynomial.  
4.  $I = (e_1(y), e_2(y), \ldots, e_n(y))$ , where  $(y_1, \ldots, y_n) = (x_1^s, \ldots, x_n^s)$ .  
5.  $I = (e_1(y), e_2(y), \ldots, e_{n-1}(y), (x_1 \cdots x_n)^r)$ , same  $e_k(y)$  for  $k < n$ .  
Proof. (1)  $l = x_n$ .

(2) e<sub>n</sub> can be replaced by x<sup>n</sup><sub>n</sub>. I + x<sub>n</sub> = (ē<sub>1</sub>,..., ē<sub>n-1</sub>, x<sub>n</sub>).
(3) h<sub>d+n-1</sub> can be replaced by x<sup>d+n-1</sup><sub>n</sub>. I + x<sub>n</sub> = (h
d<sub>d</sub>,..., h
d<sub>d+n-2</sub>, x<sub>n</sub>).
(4) e<sub>n</sub>(y) can be replaced by x<sup>sd</sup><sub>n</sub>.
(5) Similar to (4).

In these examples we can induct on n and use flat extension thm.

## Generalize these examples

Drop the condition " $l^k$  can be a minimal basis element" in this proposition but try to use the implication

 $G = Gr_{(z)}(A)$  is  $SL \Rightarrow A$  is SL.

What condition do I need on A and  $\times z : A \to A$ .

To understand

$$G = G_z(A) \stackrel{\text{def}}{=} A/(z) \oplus (z)/(z^2) \oplus (z^2)/(z^3) \oplus \cdots$$

we have to understand the sequence

$$\cdots \hookrightarrow A/(0:z^3) \hookrightarrow A/(0:z^2) \hookrightarrow A/(0:z) \hookrightarrow A$$

because

$$A/(0:z) \cong (z)$$

$$A/(0:z): z = A/(0:z^2) \cong (z^2)$$

$$A/((0:z):z): z = A/(0:z^3) \cong (z^3)$$
:
:

This sequence is close to

$$0 \subset (z^m) \subset \cdots \subset (z^2) \subset (z) \subset A.$$

(There is a shift of degrees.) If we know the dimensions of these vector spaces, we know the Jordan type of  $z : A \to A$ .

It is convenient to denote the short exact sequence

$$0 \to R/(I:l) \to R/I \to R/(I+l) \to 0$$

by the diagram:



z is the image of  $l \in R$  in A.

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We may think of nodes as ideals or Artinin algebras.

In a general set up, we can obtain the Jordan type for

$$\times z \in \operatorname{End}_K(A)$$

by the diagram like this.

This depicts coloning by z and adding of z. Coloning by z the ideal is strictly expanded, but they can be equal mod z. If Hilbert seires is known for  $(I : l^k) + l$  for all  $k \ge 0$ , Jordan type  $\times l : A \to A$  is known.

 $\mathbf{F} he \text{ last red node} = \text{last black node}.$ 

Note  $(A/(0:z))[1] \cong (z)$  and

$$H(A) = H(A/z) + H(A/0:z)[T]$$

So the diagram can be used to find the Hilbert series of A, if we know the Hilbert series of  $A/((0 : z^k) + z)$  for all k.

We consider  $A = K[x_1, x_2, x_3]/(x_1^3, x_2^3, x_3^4)$ .  $B = K[x_1, x_2, x_3]/(x_1^3, x_2^3, x_3^3)$ ,  $z = x_3$ .

$$H(A) = 1 + 3T + 6T^{2} + 8T^{3} + 8T^{4} + 6T^{5} + 3T^{6} + T^{7}$$
(1)  
$$-(1 + 2T + 2T^{2} + 2T^{3} + T^{4})(1 + T + T^{2} + T^{3})$$
(2)

$$=(1+2T+3T^{2}+2T^{3}+T^{4})(1+T+T+T)$$
(2)  
$$=1+2T+3T^{2}+2T^{3}+T^{4}$$
(3)

$$+T + 2T^{2} + 3T^{3} + 2T^{4} + T^{5}$$

$$+T^{2} + 2T^{3} + 3T^{4} + 2T^{5} + T^{6}$$

$$+T^{3} + 2T^{4} + 3T^{5} + 2T^{6} + T^{7}$$

$$(6$$



 $H(B) = 1 + 3T + 6T^2 + 7T^3 + 6T^4 + 3T^5 + T^6.$ 

 $H(B/(x_3)) = 1 + 2T + 3T^2 + 2T^3 + T^4.$   $H(B/(0:x_3^1) + x_3) = 1 + 2T + 3T^2 + 2T^3 + T^4.$  $H(B/(0:x_3^2) + x_3) = 1 + 2T + 3T^2 + 2T^3 + T^4.$  Generally, the Jordan type of  $\times z : A \to A$  is

 $\times z = J_1 \oplus J_2 \oplus \cdots \oplus J_r, \quad J_i$ , a Jordan block.

In a flat extension the Jordan block for  $\times z$  is the conjugate of

$$(\underbrace{|A/(z)|,\cdots,|A/(z)|}_{m}).$$

I.e.,

$$(\underbrace{m,m,\cdots,m}_{|A/(z)|}).$$

The number of Jordan blocks is |A/(z)|, the size is m.

 $\{1_A, z, z^2, \cdots, z^{m-1}\} \subset A$ , is a Jordan block

A lift of an element in A/(z) behaves, more or less, in the same way, but it will give us a smaller block.

In the next diagram we consider  $A = K[x_1, x_2, x_3]/(x_1^3, x_2^3, x_3^3)$ ,  $z = x_2 + x_3$ . It will be shown that the h-vector can be decomposed adjusted at the middle.

This depicts coloning by l and adding of l.

$$A = R/I, I = (x_1^3, x_2^3, x_3^3), l = x_2 + x_3, z = l \mod I.$$



$$|A/(z)| = 9, |A/(0:z) + z| = 6$$

This shows  $\times z : A \to A$  has 9 blocks,  $\times z : A/(0 : z) \to A/(0 : z)$  has 6 blocks. Hence  $\times z : A \to A$  has 3 blocks of size 1. We can proceed by induction.

$$|H(A/(0:z^2) + z)| = 6,$$
  
$$|H(A/((0:z^3) + z))| = |H(A/((0:z^3) + z))| = 3.$$

So the Young diagram for this map  $\times z : A \to A$ 



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Each box has a degree. So we can put its degree in each box.



1 3 0 7 0 3 1

# Proposition

It is possible to decompose the graded vector space A into smaller spaces with symmetric h-vectors adjusted at the center. (We need the assumption A has a symmetric h-vector.) What condition do I need to conclude A has SLP, provided we know  $A/((0:z_n^j) + z_n)$  for all  $i = 0, 1, 2, \dots$ ?



not algebra, but sometimes they have an algebra structure!

So this was a motivation for central simple modules. Let me explain the "central modules" for  $\times z : A \to A$ .

Central simple modules for  $\times z : A \to A$ 

A block in the Jordan decomposition is an  $n_1 \times n_1$  matrix like  $\begin{pmatrix} 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix}$ .  $(\times z) = J_1 \oplus J_2 \oplus \cdots \oplus J_r$  can be described as a list of sizes.

The Jordan type can be expressed as a decreasing sequence of integers:

$$\underbrace{n_1,\ldots,n_1}_{m_1},\underbrace{n_2,\ldots,n_2}_{m_2},\underbrace{n_3,\ldots,n_3}_{m_3},\underbrace{n_4,\ldots,n_4}_{m_4},$$

where  $n_1 > n_2 > \cdots > n_4$ . A Young diagram



can be used to depict the map  $\times z : A \to A$ .  $\Box$  is a basis element of

A and  $\times z$  sends a box to the next box on its right.

The number of blocks in the Jordan decomposition of  $\times z : A \to A$  is equal to |A/(z)| = |0:z|.

So to find a Jordan decomposition, choose  $a_1, \ldots, a_r \in A$  such that  $A = \langle a_1, \ldots, a_r \rangle + \langle z \rangle$ , apply  $\times z$  to these elements and see when they reach zero.

This is shown in the next picture.





## Theorem

Suppose A is a graded Gorenstein algebra.  $z \in A_1$ . Pick up non-zero terms from  $\frac{(0:z^{i-1})+z}{(0:z^i)+z}$  and  $U_j = \frac{(0:z^i)+z}{(0:z^{i-1})+z}$ ,  $j = 1, \ldots, s$ . If all  $U_j$  have SLP, then  $Gr_{(z)}(A)$  has the SLP.

**Proof.** Consider  $Gr_{(z)}(A) \stackrel{\text{def}}{=} A/(z) \oplus (z)/(^2) \oplus (z^2)/(z^3) \cdots \oplus (z^p)/(z^{p+1})$ . This is close to the sum

$$\bigoplus U_k \otimes K[z]/(z_k^{a_k}).$$

**SLP** of  $Gr_{(z)}(A)$  follows from SLP of  $U_k$ .

Before we state our main result, make two remarks.

#### Lemma

If *I* is a ci and if I : l is a ci for a linear form in *R*, then I + (l) is a ci.

Proof. This is not quite obvious, but this can be proved.

#### Lemma

Suppose J/I is a principal module. Then  $(J/I) \cong R/(I : f)$  for some  $f \in R$ .

**Proof.** J = I + f for some f. Hence  $J/I = (I + f)/I \cong R/I : f$ .

Definition(Tentative) "Bianry tree of ci's"  
1. 
$$\mathcal{F} = \bigcup_{\nu=1}^{\infty} \mathcal{F}_{\nu}$$
  
2.  $\mathcal{F}_{\nu} = \{I \subset K[x_1, \dots, x_{\nu}] \mid I \text{ is an Artinian ci.}\}.$   
3. For  $I \in \mathcal{F}_{\nu}$ ,  $I : x_{\nu} \in \mathcal{F}$  as long as  $x_{\nu} \notin I$ .  
4. For any  $I \in \mathcal{F}_{\nu}$  there exists  $J \in \mathcal{F}_{\nu-1}$  such that  $I + x_n = JR_{\nu} + x_n$ .  
5. All central modules  $U_j = \frac{(I:x_{\nu}^k) + (x_{\nu})}{(I:x_{\nu}^{k-1}) + (x_{\nu})}$  are principal (so it is isomorphic to  $R_{\nu-1}/J$  for some  $J \in \mathcal{F}_{\nu-1}$ ).  
Theorem  
All members of  $\mathcal{F}$  have SLP.

#### Remark

- 1. Simplify the definition. For example (5) may follow from other conditions.
- 2. If  $\mathcal{F}'$  and  $\mathcal{F}''$  satisfy (1)–(5). Then  $\mathcal{F}' \bigcup \mathcal{F}''$  satisfy (1)–(5). So what is the largest such  $\mathcal{F}$ .
- **3.**  $\mathcal{F}_2$  consists of all ci in  $K[x_1, x_2]$ .
- 4.  $\mathcal{F}_3$  contains all ci I in  $K[x_1, x_2, x_3]$  such that  $I : x_3^k$  is a ci for all  $k = 1, 2, \ldots$

At least this gives us a new proof all ci in  $K[x_1, x_2]$  are SL. For  $\nu = 3$ , if I is a ci and if there is a linear element  $l \in R$  such that  $I : l^k$  is a ci for all k, then I has the SLP.

We can use the same picture.

Consider this diagram for  $A = K[x_1, x_2]/(F_1, F_2)$ . z is a linear element. Coloning by z the ideal is a ci.  $(I : z^k) + z$  is an principal ideal in K[x].



$$A \supset (0:l^p) \supset (0:l^{p-1}) \supset \cdots (0:l^2) \supset (0:l) \supset 0_A.$$
  

$$\mathbf{Add} \ l$$
  

$$A \supset (0:l^p) + l \supset (0:l^{p-1}) + l \supset \cdots (0:l^2) + l \supset (0:l) + l \supset (l).$$
  

$$\mathbf{Take \ quotient}$$
  

$$\frac{(0:l^j) + (l)}{(0:l^{j-1}) + (l)}$$

Equivalently,

$$A \supset (l) \supset (l^2) \supset (l^3) \supset \cdots \supset (l^m) = (0_A).$$

Intersect with 0:l

 $(0:l) \supset (l) \cap (0:l) \supset (l^2) \cap (0:l) \supset (l^3) \cap (0:l) \supset \cdots \supset (l^m) \cap (0:l) = (0).$ 

Take quotient

$$\frac{(l^{j-1}) \cap (0:l)}{(l^j) \cap (0:l)} = \left(\frac{(0:l^j) + l}{(0:l^{j-1}) + l}\right)^*$$

# It remains to find examples where this theorem is applicable.

# (generalized) Newton's identity

Newton's identity consists of two parts.

$$\begin{pmatrix} f_1 \\ f_2 \\ f_3 \\ \vdots \\ f_n \end{pmatrix} = \begin{pmatrix} w_1 & 0 & 0 & \cdots & 0 \\ f_1 & w_2 & 0 & \cdots & 0 \\ f_2 & f_1 & w_3 & \cdots & 0 \\ \vdots & & \ddots & \ddots & \vdots \\ f_{n-1} & f_{n-2} & \cdots & f_2 & f_1 & w_n \end{pmatrix} \begin{pmatrix} e_1 \\ -e_2 \\ e_3 \\ \vdots \\ (-1)^{n-1}e_n \end{pmatrix}$$

$$f_k = \sum_{j=1}^n (-1)^{j-1} f_{k-j} e_j, \quad k > n.$$

Recall that  

$$f_{k} = \begin{cases} \sum_{j=1}^{n} x_{j}^{k} & \text{if } w_{1}, w_{2}, \cdots, w_{n} = (1, 2, 3, \dots, n) \\ \sum_{k_{1} + \dots + k_{n} = k} x_{1}^{k_{1}} x_{2}^{k_{2}} \cdots x_{n}^{k_{n}} & \text{if } w_{1}, w_{2}, \dots, w_{n} = (1, 1, \dots, 1) \end{cases}$$
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These are power sum and complete symmetric polynomials.

## Proposition

Suppose n = 3. Then  $(f_d, f_{d+1}, f_{d+2}) : x_3^k$  for all  $k = 0, 1, 2, \cdots$ ,  $(f_d, f_{d+1}, f_{d+2}) : x_3^k \in \mathcal{F}_3$  for all  $k = 0, 1, 2, \cdots$ , as long as it is not 0.

### Proposition

Suppose  $n \geq 4$ . If  $w_i = \frac{1-q^i}{1-q}$  for some  $q \in K$ , then  $(f_d, f_{d+1}, \ldots, f_{d+n-1}) : x_n^k$  for all  $k = 0, 1, 2, \cdots, (f_d, f_{d+1}, \ldots, f_{d+n-1}) : x_n^k \in \mathcal{F}_n$  for all  $k = 0, 1, 2, \cdots$ , as long as it is not 0.

### Remark

If q = 0, then  $(w_1, \ldots, w_n) = (1, 1, \ldots, 1)$ . If q = 1, then  $(w_1, \ldots, w_n) = (1, 2, \ldots, n)$ .

Suppose  $f_k$  satisfies (generalized) Newton's identity with arbitrary weights  $w = (w_1, \ldots, w_n)$ .  $w_1 w_2 \cdots w_n \neq 0$ .

## Theorem

If n = 3, 1.  $(f_d, f_{d+1}, f_{d+2}) \in \mathcal{F}_3$  for all  $d \ge 2$ . 2.  $(x_1x_2, f_d, f_{d+1}) \in \mathcal{F}_3$ . 3.  $(x_1 + x_2, x_1x_2, f_d) \in \mathcal{F}_3$ . ( $f_d$  could be replaced by  $x_3^d$ .) For  $n \ge 4$  it is not true that

 $(f_1,\ldots,f_n)$ :  $x_n^k$  is a ci for all k.

But if  $w = (w_1, \ldots, w_n)$  is of the form

$$(w_1, \dots, w_n) = (\frac{1-q}{1-q}, \frac{1-q^2}{1-q}, \dots, \frac{1-q^{n-1}}{1-q})$$

this is true and the above theorem generalizes.

$$(w_1, w_2, \dots, w_n) = (1, 1, \dots, 1), (1, 2, \dots, n)$$

Suppose  $f_k$  satisfies the Newtons's identity with weights  $w = (w_1, \ldots, \overline{e_i})$  is the elementary symmetric polynomial in  $x_1, \cdots, x_{n-1}$ . We have the following theorem.

Suppose  $n \ge 4$ .  $(w_1, \ldots, w_n) = (1, \frac{1-q^2}{1-q}, \ldots, \frac{1-q^i}{1-q})$ .

## Theorem

(0) 
$$(f_d, f_{d+1}, \dots, f_{d+n-1}) \in \mathcal{F}_n$$
 for all  $d \ge 2$ .  
(1)  $(\overline{e}_{n-1}, \underbrace{f_d, \dots, f_{d+n-2}}_{n-1}) \in \mathcal{F}_n$ .  
(2)  $(\overline{e}_{n-2}, \overline{e}_{n-1}, \underbrace{f_d, \dots, f_{d+n-2}}_{n-2}) \in \mathcal{F}_n$ .  
(k)  $(\underbrace{\overline{e}_{n-k}, \dots, \overline{e}_{n-1}}_{k}, \underbrace{f_d, f_{d+1}, \dots, f_{d+n-k}}_{n-k}) \in \mathcal{F}_n$ .  
(n-1)  $(\underbrace{\overline{e}_1, \overline{e}_2, \dots, \overline{e}_{n-1}}_{n-1}, f_d) \in \mathcal{F}_n$ . (f\_d can be replaced by  $x_n^d$ .)  
(n)  $(\overline{e}_1, \overline{e}_2, \dots, \overline{e}_{n-1}, x_n) \in \mathcal{F}_n$ .  
In particular  $(f_1, \dots, f_n) : x_n^k$  are ci for all  $k = 0, 1, 2, \dots$ ,

This is the end of my talk. Thank you for listening.