

# ARTINIAN COX-GORENSTEIN ALGEBRAS

William D. Montoya

Universidade Estadual de Campinas (UNICAMP), Brazil

Università degli Studi di Ferrara (UNIFE), Italia

---

This is work in progress with:

Ugo Bruzzo, Rodrigo Gondim and Rafael Holanda

Kraków, Poland - 2024

# $G$ -graded algebras

Let  $(G, +)$  be an Abelian group, possibly with torsion.

**Definition.** Let  $A$  be a  $\mathbb{k}$ -algebra, a  $G$ -grading in  $A$  is a decomposition of  $A$  into  $\mathbb{k}$ -vector spaces parameterized by  $G$ , i.e.,

$$A = \bigoplus_{g \in G} A_g$$

such that the product in  $A$  satisfies  $A_g A_h \subseteq A_{g+h}$ . We always consider  $A_0 = \mathbb{k}$  and any element in  $A_g$  for some  $g \in G$  we call it homogeneous of degree  $g$ .

**Example.** Set  $A = \mathbb{k}[x, y]$  with  $\deg(x) = (1, 0)$  and  $\deg(y) = (0, 1)$ . These induce a  $\mathbb{Z}^2$ -grading on  $A$ .

# Cox rings, Toric varieties and Cox algebras

Let  $\mathbb{k}$  be an algebraic closed field with characteristic zero.

**Definition.** Let  $Z$  be a complete normal variety with finitely generated Class group. The **Cox ring** of  $Z$  is the  $\text{Cl}(Z)$ -graded ring

$$\text{Cox}(Z) := \bigoplus_{[D] \in \text{Cl}(Z)} H^0(\mathcal{O}_Z(D)).$$

**Theorem[Berchtold and Hausen 2003].** Let  $Z$  be a normal variety  $A_2$ -maximal (e.g., projective) with  $\mathcal{O}_Z(Z) = \mathbb{k}$  and finitely generated class group. Then  $Z$  is a **toric variety** if and only if  $\text{Cox}(Z)$  is a **polynomial ring**.

**Definition.** A  $G$ -graded algebra  $A$  isomorphic to the quotient of the Cox ring of a toric variety (polynomial ring) by a homogeneous ideal is a **Cox Algebra**.

# Hilbert function and Hasse-diagram

We know that any finitely generated Artinian ( $G$ -graded)  $\mathbb{k}$ -algebra  $A$  has finite dimension over  $\mathbb{k}$ , therefore it is finitely graded and every graded piece is a finite-dimensional  $\mathbb{k}$ -vector space and we set  $h_g = \dim A_g$ . The **Hilbert function** of  $A$ :

$$\mathrm{HF}_A : G \rightarrow \mathbb{Z}_+$$

is defined by  $\mathrm{HF}_A(g) = h_g$ . Now, let  $(G, +, \preceq)$  be a **partially ordered** Abelian group. In order to properly encode the structural information contained in the Hilbert function we introduce the **Hasse-Hilbert diagram** of  $A$  defined as a **vertex-weighted directed graph** structure over the covering graph of  $G$  where the weight of a vertex  $g$  is the **Hilbert function**  $h_g$ .

# Hasse-Hilbert diagram

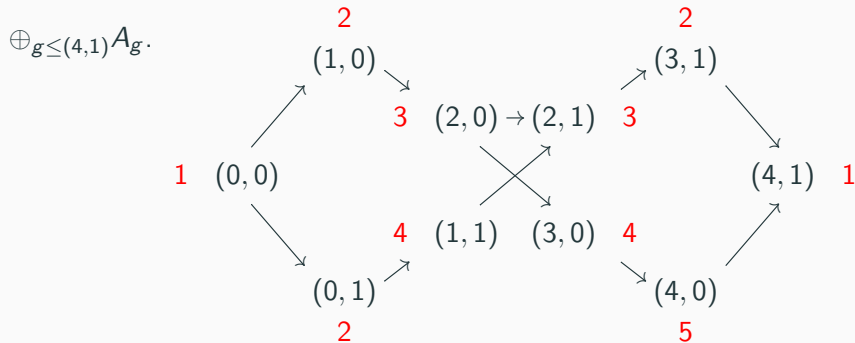
By definition of the **covering graph** of a partial order, the vertex set is the POSET, in our case all  $g \in G$  such that  $A_g \neq 0$ , and two vertices  $g, h \in G$  are connected if they are **immediate neighbors**, that is, they are comparable and there is no other comparable element between them. As usual, a maximal element in  $G$  is an element  $g \in G$  for which  $h \in G$  such that  $g \preceq h$  does not exist, with  $g \neq h$ . We say that a **maximal element**  $g \in G$  is the **greatest element** in  $G$  if  $h \preceq g$  for all  $g \in G$ .

**Remark.** We are interested in the case when  $G$  is equal to the class group  $\text{Cl}(Z)$  of a toric variety  $Z$ , in this case we have a natural partial order:

For  $\alpha, \beta \in \text{Cl}(Z)$ ,  $\alpha \preceq \beta$  if and only if  $\beta - \alpha$  is an **effective** divisor.

## Example

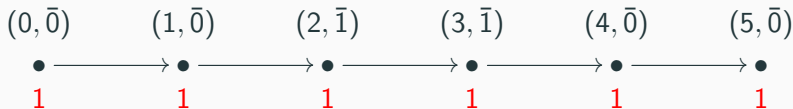
Let  $S = \mathbb{k}[x, y, u, v]$  be  $\mathbb{Z}^2$ -graded by  $\deg(x) = \deg(y) = (1, 0)$  and  $\deg(u) = \deg(v) = (0, 1)$ . We consider  $G = \mathbb{Z}^2$  with the partial order  $(a, b) \preceq (c, d)$  if and only if  $a \leq c$  and  $b \leq d$ . Let  $I = (S_{(0,2)}, S_{(5,0)}, x^2u - y^2v, x^2v, y^2u) \subset S$ , the quotient  $A = S/I$  is an Artinian  $\mathbb{Z}^2$ -graded algebra. The **Hasse-Hilbert diagram** has  $A_{(4,1)}$  as the greatest element. In fact  $A =$



## Example with torsion

Let  $S = \mathbb{k}[x, y, z]$  be the polynomial ring with a  $G = \mathbb{Z} \oplus \mathbb{Z}_2$ -grading given by  $\deg(x) = (1, \bar{1})$ ,  $\deg(y) = (1, \bar{0})$  and  $\deg(z) = (2, \bar{1})$ . We consider  $G$  with the order  $(a, \bar{b}) \preceq (c, \bar{d})$  if and only if  $a \leq c$ . Let  $I = (x, y^2, z^3) \subset S$  and  $A = S/I$ . It is easy to see that  $A$  is an Artinian  $G$ -graded algebra. The **Hasse-Hilbert diagram** of  $A$  is linear and we can write

$$A = A_{(0, \bar{0})} \oplus A_{(1, \bar{0})} \oplus A_{(2, \bar{1})} \oplus A_{(3, \bar{1})} \oplus A_{(4, \bar{0})} \oplus A_{(5, \bar{0})}.$$



# Artinian Cox-Gorenstein Algebras

**Definition.** Let  $A = \mathbb{k}[X_1, \dots, X_n]/I = \bigoplus_{g \in G} A_g$  be an Artinian  $G$ -graded  $\mathbb{k}$ -algebra and let  $\mathfrak{m} := (\overline{X_1}, \dots, \overline{X_n}) \subset A$ . We say that  $A$  is **Cox-Gorenstein** if there exists  $\omega \in G$  such that  $\text{soc}(A) := (0 : \mathfrak{m}) = A_\omega \simeq \mathbb{k}$ . In this case,  $\omega$  is called the socle degree of  $A$  and  $I$  a **Cox-Gorenstein ideal**.

**Theorem.** Let  $A = \bigoplus_{g \in G} A_g$  be an Artinian  $G$ -graded  $\mathbb{k}$ -algebra.  $A$  is Cox-Gorenstein if and only if  $A$  has the **Poincaré duality**.

**Theorem.** Let  $Z$  be a  $d$ -dimensional projective toric orbifold, and assume that  $f_i \in S_{\beta_i} = H^0(\mathcal{O}_Z(\beta_i))$  for  $i = 0, \dots, d$  where  $\beta_i \in \text{Cl}(Z)$  is an ample class and the  $f_i$  don't vanish simultaneously on  $Z$  then

$$(S/(f_0, \dots, f_d))_\omega \simeq \mathbb{C}$$

for  $\omega = \sum_{i=0}^d \beta_i - \beta_0$  where  $\beta_0$  is the anticanonical class of  $Z$ . Moreover, for each variable  $x_i$ ,

$$x_i \cdot S_\omega \in (f_0, \dots, f_d).$$



# Toric Macaulay duality theorem

**Corollary.** If  $Z$  has **Picard rank 1** then  $A = S/(f_0, \dots, f_d)$  is an Artinian Cox-Gorenstein algebra with socle degree  $\omega$ .

Toric varieties with Picard rank one are **weighted projective spaces** and fake projective spaces.

The assumption on the Picard rank is essential.

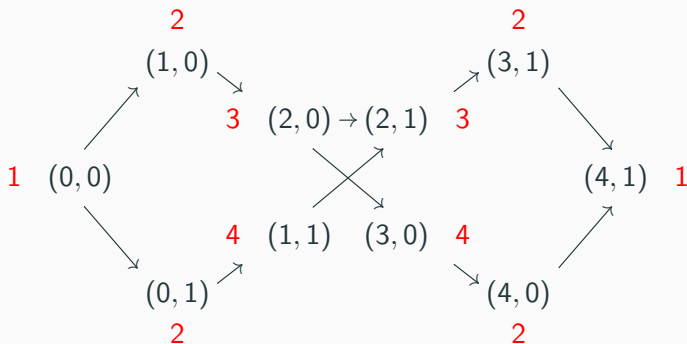
**Example.** For  $\mathbb{P}^1 \times \mathbb{P}^1$  with coordinates  $(x, y, u, v)$  we consider  $(f_0, f_1, f_2) = (x^2u - y^2v, x^2v, y^2u)$  then  $A = S/(x^2u - y^2v, x^2v, y^2u)$  is **non-Artinian**.

**Corollary.** If  $Z$  has Picard rank bigger than 1 there exists a **minimal** Artinian Cox-Gorenstein ideal with socle degree  $\omega$  containing  $(f_0, \dots, f_d)$ .

We mean **minimal** in the sense that we can consider a natural **Artinian Cox-Gorenstein reduction**, preserving the configuration of the Hasse-Hilbert diagram and preserving the pairings which were already perfect.

## Example: Minimal Artinian Cox-Gorenstein reduction

The Artinian minimal reduction of  $I = (x^2u - y^2v, x^2v, y^2u)$  is  $I_A = (S_{(0,2)}, S_{(5,0)}, x^2u - y^2v, x^2v, y^2u)$  and its Gorenstein minimal reduction is  $I_G = (I_A, x^4, x^2y^2, y^4)$  and its Hasse-Hilbert diagram is:



# A Lefschetz type theorem for toric varieties

**Theorem.** [Batyrev and Cox 1994] Let  $X$  be a quasi-smooth ample hypersurface in a  $d$ -dimensional projective toric orbifold  $Z$  with  $X$  cut off by  $f \in S_\beta$ , then the natural map  $i^* : H^i(Z) \rightarrow H^i(X)$  is an isomorphism for  $i < d - 1$  and an injection for  $i = d - 1$ . Moreover  $i^*$  is a morphism of Hodge structures.

**Definition.** The primitive cohomology group  $H_{\text{prim}}^{d-1}(X)$  is defined by the exact sequence

$$0 \rightarrow H^{d-1}(Z) \rightarrow H^{d-1}(X) \rightarrow H_{\text{prim}}^{d-1}(X) \rightarrow 0.$$

We denote by  $H_{\text{prim}}^{p,d-1-p}(X, \mathbb{Q})$  the Hodge components of the primitive cohomology with rational coefficients. Then by the Noether-Lefschetz theorem for toric varieties on a very general hypersurface in a  $(2k + 1)$ -dimensional projective toric orbifold with degree  $\beta$  such that  $\beta - \beta_0$  is nef, we have that  $H_{\text{prim}}^{k,k}(X, \mathbb{Q}) = 0$ .

# Jacobian ring and primitive cohomology

**Theorem. [Batyrev and Cox 1994]** Let  $Z$  be a  $d$ -dimensional projective toric orbifold, and let  $X \subset Z$  be a quasi-smooth ample hypersurface cut off by  $f \in S_\beta$ . If  $R(f)$  is the **Jacobian ring of  $f$** , then for  $p \neq d/2 - 1$ , there is a canonical isomorphism

$$R(f)_{(d-p)\beta-\beta_0} \cong H_{\text{prim}}^{p,d-1-p}(X).$$

**Proposition.** There exists a  $\text{Cl}(Z)$ -graded Artinian Cox-Gorenstein ideal  $E$  containing  $R(f)$ .

This  $E$  appears naturally in the study of the deformation of Hodge classes, i.e., deformation of cohomology classes of type  $(k, k)$  for some  $k \in \mathbb{N}$ . For example on  $\mathbb{P}^n$  in **Noether-Lefschetz Locus and a Special Case of the Variational Hodge Conjecture: Using Elementary Techniques** by A. Dan.

# Tangent space of a point in the Noether-Lefschetz locus

Let  $Z$  be a  $(2k + 1)$ -dimensional projective toric orbifold.

**Definition. [Noether-Lefschetz locus]**

$NL_\beta = \{X \text{ a quasi-smooth hypersurface with } \deg(X) = \beta \mid H_{\text{prim}}^{k,k}(X, \mathbb{Q}) \neq 0\}.$

**Example.** For  $Z = \mathbb{P}^3$  and  $\beta - 4 \geq 0$ , i.e., the classical Noether-Lefschetz locus,  $NL_\beta$  is equal to the locus of smooth surfaces with degree  $\beta \in \text{Pic}(\mathbb{P}^3) \simeq \mathbb{Z}$  and Picard rank strictly bigger than 1.

**Theorem.**  $T_{[f]}(NL_\beta) \cong E_\beta$ , where

$$E = \{K \in S_\bullet \mid \sum_{i=1}^{h_{2k}(X, \mathbb{Q})} \lambda_i \int_{\text{Tub } \gamma_i} \frac{KR\Omega_0}{f^{k+1}} = 0 \text{ for all } R \in S_{\omega-\bullet}\},$$

$\omega = (k + 1)\beta - \beta_0$  and  $\text{Tub}(-)$  is the adjoint of the residue map.

# Toric Macaulay-Matlis theorem

Let  $G$  be an Abelian group and let  $S = \mathbb{k}[x_1, \dots, x_s]$  be the **polynomial ring** with a  $G$ -grading. Let  $Q = \mathbb{k}[X_1, \dots, X_s]$  be the ring of **differential operators** associated to  $S$ , that is,  $X_i = \frac{\partial}{\partial x_i}$ , and  $S$  has a natural structure of  $Q$ -module given by differentiation  $X_i(x_j) = \delta_{ij}$ . The  $G$ -grading on  $S$  induces a  $G$ -grading on  $Q$  by defining  $\deg(X_i) = \deg(x_i) \in G$ .

**Theorem.** We have a bijective correspondence

$$\begin{aligned} \{G\text{-homogeneous ideals } I \subset Q\} &\leftrightarrow \{G\text{-graded } Q\text{-submodules of } S\} \\ I &\mapsto I^{-1} := \{f \in S \mid \alpha(f) = 0 \ \forall \alpha \in I\} \\ \{\alpha \in Q \mid \alpha(f) = 0 \ \forall f \in M\} =: \text{Ann}(M) &\leftrightarrow M \end{aligned}$$

Under this correspondence,  $M = I^{-1}$  is finitely generated as an  $S$ -module if and only if  $A = Q/I$  is Artinian. Moreover,  $A$  is **Artinian Cox-Gorenstein** if and only if  $M = Q \cdot f$  is a **cyclic module**.

# Lefschetz Properties: Preliminaries

Let  $A = Q/I = \bigoplus_{g \in G} A_g$  be a Cox algebra and let  $\mathcal{L} = \langle X_1, \dots, X_s \rangle \subseteq A$  be the  $\mathbb{k}$ -linear subspace generated by the class of the variables in  $Q$ . We say that any **homogeneous** element  $L \in \mathcal{L}$  is **linear**.

Also we say that two graded pieces of  $A$ ,  $A_g$  and  $A_h$ , are :

- **linearly consecutive** if  $g \preceq h$  and  $\mathcal{L}_{h-g} \neq 0$ ;
- **linearly comparable** if  $g \preceq h$  and there is  $L \in \mathcal{L}_l$  such that  $h = g + kl$  for some  $k \in \mathbb{Z}_+$ .

**Example.** Consider  $Q = \mathbb{k}[X, Y, U, V]$  and  $G = \mathbb{Z}^2$  with the partial order  $(a, b) \preceq (c, d)$  if and only if  $a \leq c$  and  $b \leq d$ , and the  $G$ -grading given by  $\deg(X) = \deg(Y) = (1, 0)$  and  $\deg(U) = \deg(V) = (0, 1)$ . Then  $(0, 0)$  is linearly comparable with  $(1, 1)$  but not linearly consecutive.

**Remark.** The  $\mathbb{k}$ -linear subspace  $\mathcal{L}$  has a natural disjoint decomposition.

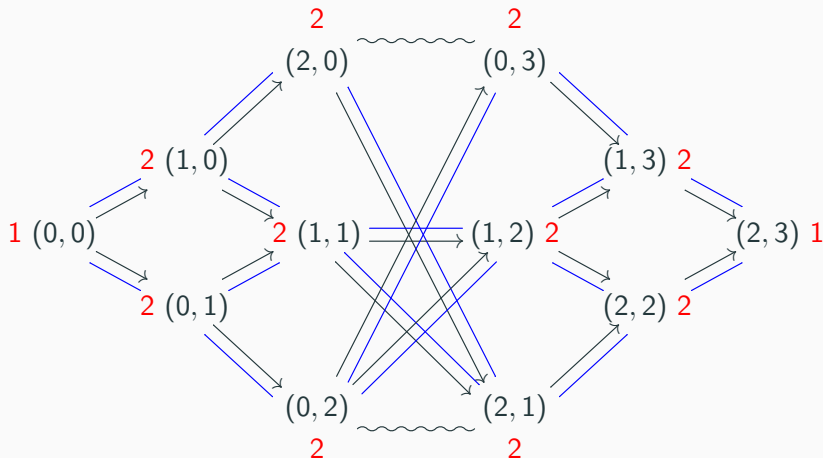
# Toric Weak Lefschetz Property

**Definition.** Let  $A$  be an Artinian Cox algebra. We say that  $A$  has the **Toric Weak Lefschetz property** (TWLP) if for every **linearly consecutive** summands of  $A$ ,  $A_g$  and  $A_h$ , there is a linear element  $L \in \mathcal{L}_{h-g}$  such that the  $\mathbb{k}$ -linear multiplication map  $\bullet L : A_g \rightarrow A_h$  has **maximal rank**.

**Example.** Consider  $S = \mathbb{k}[x, y, u, v]$  and  $G = \mathbb{Z}^2$  and a  $G$ -grading given by  $\deg(x) = \deg(y) = (1, 0)$  and  $\deg(u) = \deg(v) = (0, 1)$ . Let  $f \in S_{(2,3)}$  be given by  $f = x^2u^3 + y^2v^3$ . Let  $Q = \mathbb{k}[X, Y, U, V]$  be the ring of differential operators acting on  $S$  and let  $I = \text{Ann}(f) \in Q$  be the Artinian Cox-Gorenstein ideal producing  $A = Q/I$  and the generators of the linear elements  $\mathcal{L}_{(1,0)} = \langle X, Y \rangle$  and  $\mathcal{L}_{(0,1)} = \langle U, V \rangle$ . We have that  $L_{(0,1)} = U + V$  or  $L_{(1,0)} = X + Y$  are linear elements. Moreover, for every linearly consecutive degrees  $h$  and  $g$  with  $g \preceq h$ ,  $h - g = (0, 1)$  or  $h - g = (1, 0)$  and the multiplication maps  $\bullet L_{(0,1)}$  or  $\bullet L_{(1,0)}$  have **maximal rank**.



# Example: Toric Weak Lefschetz Property



Hasse-Hilbert diagram and linear comparability

# Toric Strong Lefschetz Property

**Definition.** Let  $A$  be an Artinian Cox algebra. We say that  $A$  has the **Toric Strong Lefschetz property (TSLP)** if for every **linearly comparable** summands  $A_g$  and  $A_h$  of  $A$ , there is a linear element  $L \in \mathcal{L}_1$  with  $h = g + kl$  such that the  $\mathbb{k}$ -linear multiplication map  $\bullet L^k : A_g \rightarrow A_h$  has **maximal rank**.

**Example.** Let  $S = \mathbb{k}[x, y, z]$  be  $\mathbb{Z}$ -graded by  $\deg(x) = \deg(y) = 1$  and  $\deg(z) = 2$ . Let  $f \in S_4$  given by  $f = x^4 + y^4 + z^2$ . In the dual  $Q = \mathbb{k}[X, Y, Z]$  we obtain

$$\text{Ann}(f) = (XY, XZ, YZ, X^5, Y^5, Z^3, X^4 - Y^4, X^4 - Z^2).$$

Let  $A = Q/I$  be the Cox-Gorenstein algebra associated with  $f$ . We have  $A = A_0 \oplus A_1 \oplus A_2 \oplus A_3 \oplus A_4$  with  $A_1 = \langle X, Y \rangle$ ,  $A_2 = \langle X^2, Y^2, Z \rangle$ ,  $A_3 = \langle X^3, Y^3 \rangle$ , and  $A_4 = \langle X^4 \rangle \simeq \langle Y^4 \rangle \simeq \langle Z^2 \rangle$ . It is easy to verify that  $A$  has the **TSLP** with the linear element  $L = X + Y$ .

# Toric Hessian Criterion

**Definition.** Let  $\mathcal{B} = \{\beta_1, \dots, \beta_s\}$  and  $\mathcal{C} = \{\gamma_1, \dots, \gamma_t\}$  be  $\mathbb{k}$ -basis of  $A_g$  and  $A_{g'}$  respectively. The **toric mixed Hessian** of  $f \in S$  with mixed order  $(\beta, \gamma)$  is  $\text{Hess}_f^{(\mathcal{B}, \mathcal{C})} := [\beta_i \circ \gamma_j(f)]$ .

A linear element  $L$  is  **$\phi$ -linear** if there exists  $\phi \in \text{Hom}_{\mathbb{Z}}(G, \mathbb{Q})$  such that  $\phi(\deg(L)) = 1$ .

**Theorem.** Let  $A = Q/I$  with  $I = \text{Ann}(f)$  be an Artinian Cox-Gorenstein  $G$ -graded  $\mathbb{k}$ -algebra. Let  $A_g$  and  $A_h$  be two **linearly comparable** graded pieces of  $A$  such that  $h = g + kl$ , and let  $L = a_1X_1 + \dots + a_mX_m \in \mathcal{L}_I$  be a  **$\phi$ -linear** element such that  $\phi(\deg(f)) \in \mathbb{Z}_+$ . Then the matrix of the  $\mathbb{k}$ -linear map  $\bullet L^k : A_g \rightarrow A_h$  with respect to bases  $\mathcal{B}$  and  $\mathcal{C}$  can be given by:

$$\left[ \bullet L^k \right]_{\mathcal{B}}^{\mathcal{C}} = k! \cdot \text{Hess}_f^{(\mathcal{C}^*, \mathcal{B})}(a) \quad \text{where} \quad a = (a_1, \dots, a_m, 0, \dots, 0).$$

Thank you for the attention!

## Some References

- Berchtold, F. and Hausen, J., *Cox rings and combinatorics*, Transactions of the American Mathematical Society, 2003.
- Bruzzo, U. and Montoya, W., *On the Hodge conjecture for quasi-smooth intersections in toric varieties*, São Paulo J. Math. Sci., 2021.
- Cox, D., Little, J. and Shenck H., *Toric Varieties* Graduate studies in mathematics. American Mathematical Society, 2011.
- Dan, A., *Noether-Lefschetz Locus and a Special Case of the Variational Hodge Conjecture: Using Elementary Techniques*, Analytic and Algebraic Geometry, Springer Singapore, 2017.
- Gondim R. and Zappalà G., *On mixed Hessians and the Lefschetz properties* J. Pure Appl. Algebra 223, 10, 2019.
- Harima, T., Maeno, T., Morita, H., Numata, Y., Wachi, A. and Watanabe, J., *The Lefschetz Properties*, Springer Berlin Heidelberg , 2013.